



16/8/74/31-13/06-721

CONTRA COSTA

COUNTY JAIL



REFER TO

CD-4 111 568

BUREAU OF IDENTIFICATION
P. O. BOX 13417, SACRAMENTO, CALIF.

U.S. Department of Justice
United States Parole Commission



Chevy Chase, Maryland 20815

WESTERN REGION

Certificate of Parole

Know all Men by these Presents:

It having been made to appear to the United States Parole Commission

that Phillip Craig Garrido, Register No. 36377-136, a prisoner in
United States Penitentiary
the Lompoc, California is eligible to be PAROLED, and in that said prisoner
substantially observed the rules of the institution, and in the opinion of the Commission said prisoner's release would
not depreciate the seriousness of this offense or promote disrespect for the law, and would not jeopardize the public
welfare, it is ORDERED by the said United States Parole Commission that said prisoner be PAROLED on

*January 20, 1988; and that said prisoner is to remain within the limits of
District of Nevada until April 10, ~~19~~ 2027

Given under the hands and the seal of the United States Parole Commission this 14th day
of January, nineteen hundred and eighty-eight

*to the actual physical custody of
detaining authorities, if
detainer is not exercised, parole
effective February 20, 1988 to
the community.

UNITED STATES PAROLE COMMISSION.

By

Case Analyst

Initial Risk Category good (SFS:6)

Advisor _____

Probation Officer CUSPO, Las Vegas, Nevada
mjt

I have read, or had read to me, the conditions of release printed on the reverse of this certificate and received a
copy thereof. I fully understand them and know that if I violate any, I may be recommitted. I also understand that
special conditions may be added or modifications of any condition may be made by the Parole Commission upon
notice required by law.

Phillip Garrido
(Name)

36377-136
(Register Number)

WITNESSED
Senior Case Manager
(Title)

1-19-1988
(Date)

UNITED STATES PAROLE COMMISSION:

The above-named person was released on the 20th day of January, 1988 with a total of 14325 days
remaining to be served.

(Chief Executive Officer)

CHRONOLOGICAL RECORD OF SUPERVISION

GARRIDO, Phillip

DATE	TYPE	
11-8-88	HV	PO to Antioch, found subject's mother's address: 1554 Walnut Avenue, Antioch, CA, rather than 2255 as subject thought. No one was home. (44507)
11-8-88	C-EV	Clerk at Longtree Convalescent Hospital advised that Director was not available. No inquiries were made of subject.
11-8-88	C-TC	PO spoke with subject's counselor who related that subject had called ECI as required, prior to PO's call (5:10-15). Subject is now allowed to go home from work and maintain contact with ECI by phone
11-9-88	C-TC	Mother called in response to note appointment at her home on Monday, 11/14 at 4:00 p.m., set.
11-18-88	C-TC	The victim of subject's rape called to inquire about subject's status. She saw an individual hanging around (about 4 - 5 p.m.) her office building that she thought was the subject. She expressed fear and concern that subject would find her. Victim had also called ECI (sighted on 11/8 at 4 - 5 p.m.). PO contacted Mrs. Peice where subject is employed. She checked subject's time card which showed that he worked on 11/8 from 7:00 to 3:30 and he was called back to work at 6:00 and remained to 7:30 p.m. (HA/jm - 11/16/88)
11-19-88	C-T	PO on this date spoke with subject's counselor at ECI and it was suggested that subject's monitoring could be increased and the possibility of electronic monitoring has been discussed when subject leaves the program. PO is of the opinion that to subject this individual to electronic monitoring would be too much of a hassle, based on the hysteria, or concerns of the victim when all indications point to the fact that subject was no where near the victim's workplace. This will be discussed at a future date with the staff of ECI, including Dr. Komms. (HA/jm - 12/9/88)
12-9-88	C-CV	Victim of subject's offense viewed picture of subject to be positive that the individual she encountered while working was not subject. Victim stated that there was a great similarity, but it was not the subject she saw.
12-13-88	C-CV	PO met with ECI psychiatrist, Dr. Komm at ECI, Oakland. The doctor feels that subject has made progress during his counseling but subject remains very tightly controled - shows no anger, keeps feelings closely to himself, "like a pot boiling with no outlet valve." There is concern about subject's reactions when a number of things go badly for him. Dr. Komm recommends follow-up counseling after subject is discharged from their facility and he is willing to do the follow up. His fee is \$75/hr. PO to advise doctor. (HA/jm - 12/28/88)
12-19-88	P-OV	Subject to office with Notice of Release, showing that he was released from ECI, Oakland, on Friday, December 16, 1988. Subject reports no change in his situation at home or work. He was informed that Dr. Komm is being contracted to continue subject's counseling. (HA/jm - 12/18/88)

Garrido, Phillip

- 1-30-91 P.O/V. Subj. came in for first visit with wife Nancy. He displayed an attitude of complete compliance that did not seem honest. It was almost as if he was putting an act. Says he has no problems whatsoever. Wife agreed. Has grand plan to embark on a seminar making career. I told him I wanted to see him on weekly basis. JJL
- 2-6-91 P.O/V. Subj. came in. I was out to court and didn't make it back in time to see subj. JJL
- 2-7-91 T/C. Barbara Major.
- 2-15-91 P.O/V. Came in with wife. Says he was upset that I thought things might not be going as well as he makes them appear. I told him that I just want to make sure that things are going well and that I would see him every two weeks instead of every week. Once again his wife is almost totally silent. This is a strange couple. I have an uneasy feeling with this guy. Everything he says seems to be an act. I'll need to see him at home. JUL
- 2-27-91 T. Can't make it because he injured his back. Will come back next week. JUL
- 3-5-91 P.O/V. Came in one early. PO wasn't in the office so subj. met w/ Antwine. Reported no problems. JUL
- 4-8-91 T/C. Spoke to B. Major. Subj. seems to be doing fine. Reports for therapy as directed and no recent problems have surfaced. Jul
- 4-23-91 T. Subj says things are going great. He's anxious for me to make a home visit so he can show me what he's up to. He reports no problems. I told him I might be able to get out to his home w/in two weeks. If not, he's to call me on May 5th. JUL
- 5-5-91 T. Subj. called. Left message.
- 5-8-91 T. To subj. Set up appt. at his home next week. JUL
- 5-15-91 P.H/V. Met w/ subj. and his wife at their home. They seemed ok when I got there but as soon as he began to talk about his recently deceased grandmother he broke down and cried uncontrollably. Just as quickly, he composed himself and went on as though nothing had happened. They took me on a tour of the place, showing his cordoning studio which although small is very well equipped. Apparently got lots of help from his mo. Right now he is working for his mo. helping her w/ her rental properties.

BOARD OF PRISON COMMISSIONERS

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HOWARD L. SKOLNIK

Assistant Director, Prison Industries

TO: BOARD OF PAROLE COMMISSIONERS

On July 21, 1988, under the provisions of Section 201.230, of NRS, Phillip Garrido #12954 was examined by a panel consisting of Brenda Burns, Warden, Northern Nevada Correctional Center, Theresa McNeel, MD, Psychiatrist, and Patricia White, Ph. D., Lake's Crossing Facility.

It is the opinion of the panel that Phillip Garrido #12954 can/cannot at this time be certified as not contributing a menace to the health, safety and morals of society.

Brenda M. Burns
Brenda Burns, Warden
Northern Nevada Correctional Center

Theresa S. McNeel, MD
Theresa McNeel, MD
Psychiatrist

Patricia White, Ph.D.
Patricia White, Ph. D.
Lake's Crossing Facility

c: Board of Parole Commissioners
C/I files

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Honorable. Bruce R Thompson

When living at home and going to school, my life was free from the influences of drugs. I had been raised in the country and lived in a very clean home. I was the baby of the family and spoiled in the long run.

In 1969 marijuana was reaching out to the rural area in Calif. From that point on my life was slowly changing. The drugs would bring more association and in turn more contact with drugs. It wasn't long before a few months passed and L. S. D. had become a part of my life.

Slowly it began to take me to another style of living and thinking, in the long run I lost much of my reasoning powers. Seven years of using made me fall from reality.

On my own I have been seeing Dr. Kuehlbauch of Men. Health. We have private one hour sessions, in which we have progressed very well.

At this time I have started and finished high school in order to prepare for college.

I have been working at the carpenter shop as I desire to learn the trade. So I have enrolled in an apprentice carpentry program lasting four years. Along with that I enrolled in drafting school as I felt it was a very important part of carpentry.

This summer I start college. After my four years of drafting and carpentry I plan on a two year computer course.

I have set my goals and find myself well on my way. It shall take seven years of schooling

to complet these courses.

In all respects my life has changed. Of course that is because I wanted to, knowing this is my chance to get my life in line. Drugs have been my down fall. I am so ashamed of my past. But my future is now in controle.

If I may please, all I ask is to be given the chance. By writing and asking for a report on me from Dr. Hehlbauch and all departments would be giving me the fairest examination I could hope for.

Sincerely
Phillip C. Garrido

PSYCHOLOGICAL EVALUATION

GARRIDO, Phillip

Reg. No. 36377-136

04-17-78

REFERRAL: Mr. Garrido is referred for psychological assessment by Assistant United States Attorney Leland E. Luffy, in connection with a motion for reduction of sentence currently under consideration. The request is made in behalf of United States District Judge Thompson, of the District of Nevada, and no specific referral question is asked.

HISTORY AND BEHAVIORAL OBSERVATIONS: Mr. Garrido presents in the interview situation as an adequately nourished and pleasant appearing 27 year old Caucasian male who looks his stated age. He is verbal from the outset of contact, being known to this examiner in a treatment situation since September, 1977. He is cooperative, candid, and volunteers information readily, even if self-critical, over all the contacts held in this evaluative process. Somatic complaints are denied, and Mr. Garrido follows a very active work and leisure activities schedule and seems quite healthy in his interests.

History is as reported elsewhere, so does not bear repetition here. Significant is that since his seventeenth year and prior to the instant offense, Mr. Garrido was extensively involved in the use of hallucinogenic and psychotomimetic drugs, and, to a lesser extent, the subculture that evolves around persons of that orientation. The only two arrests in his history which preceeded the instant offense were drug related and involved jail time and probationary dispositions. There is currently an active detainer from Nevada for the included offense of Forcible Rape in the instant matter.

Mr. Garrido is the product of a prosocial middle class family, now broken, from which he inculcated generally appropriate values, though he describes himself as over-condoned and pampered by his parents. A high school graduate, he has had no military service or work record of consequence, describing himself as a "semi-professional musician". There is a current marriage of unknown prospect, and no children have resulted from it. One brother from the family has encountered no difficulties with law enforcement.

Highly significant is Mr. Garrido's record of accomplishment in training, education, and treatment since his arrival here in September, 1977. He has achieved conspicuously in educational self-development, on-the-job training in carpentry, and in a drafting vocational training course which is current. He has become active in the group of inmates participating in Jehovah's Witness religious ceremonies, and impresses as very absorbed in their doctrine and behavioral proscriptions. He has been regular, active, and highly productive in psychological treatment which, in light of the length of his current sentence, has been addressed toward developing basic personality strengths, resolving immediate conflict areas, and familiarizing him with the significance of his lifestyle patterns. He is acutely conscientious in the exercise of all activity areas; his prime concern at the instant series of testing contacts was that he was taking a very great deal of time away from his work detail responsibilities, so inconveniencing his supervisor.

The only current sequel to the prolonged and extensive drug use noted is a series of "flashback" experiences involving feelings of depersonalization and mild hallucinations. These are diminishing both in frequency and severity over time, and any organic effects which resulted from the drug use seem to be in a positive reversal process. The instant interviews reveal no indication of a functionally psychotic state, past or current, or of debilitating organic syndrome activity.

TESTS ADMINISTERED: The Wechsler Adult Intelligence Scale, Minnesota Multiphasic Personality Inventory, Bender Motor Gestalt Test, Rotter Incomplete Sentences Blank, Thematic Apperception Test, Rorschach Psychodiagnostic Instrument, and Mental Status Examination.

TEST RESULTS: Testing reveals an Intelligence quotient at the upper end of the average range (numerically, 110) for Mr. Garrido. This impresses as consistent with his verbalizations and mannerisms, though it seems likely that his functional intelligence is progressively improving with his recovery from drug effects and with progression in educational pursuits.

The Minnesota Multiphasic Personality Inventory shows all clinical scales within normal limits (two standard deviations beyond the mean) for Mr. Garrido. The validity scales show an elevation on L (lie scale), items from which were specifically discussed with him and reconciled satisfactorily for their significance to him. In addition, 12 omissions in the protocol were discussed and their significance resolved in such a fashion as to reflect not only a healthy outcome, but a comparatively healthy approach to the overall testing circumstance.

The Bender Motor Gestalt Test, administered due to presumptive organicity, produces a non-remarkable outcome from the standpoint of the likelihood of organic brain syndrome activity. Considerable dependency was reflected in this testing approach with the psychology intern administrator, and direction of activity and approval seeking behaviors were a strongly recurrent phenomena. The protocol reflected careful attention to detail and manner of presentation without significant derogatory indicators.

The Incomplete Sentences Test reflects Mr. Garrido as a sensitive young man who is deeply committed religiously and goal oriented in management of life problems and aims. Suggested is a driven quality to his commitment, that is, when he commits to a cause or purpose, he tends to approach it with extreme zeal and diligence, so might appear personally rigid or even compulsive in many of his pursuits. Appropriate degrees of secondary narcissism and considerable conflict with regard to his current marital situation are also clear in the responses to items.

The Thematic Apperception Test buttresses these observations, reflecting a strong identification with stimuli, heavy reliance on symbolic aspects of the pictured situations presented, and an active imagination but tentative approach to story construction. Invitations to hostile interpretation were avoided or dealt with secondarily by Mr. Garrido, reflecting his characteristic tendency to exercise the defenses of denial and negation in areas of hostile sensation or expression. Even with those items that impacted him emotionally, he showed a good ability to reconstitute and reconstrue situations so as to make them palatable in expression.

for himself. The depth of his religious commitment and its impact on his life philosophy are clear in the protocol developed, and his style of dealing with these phenomena is, on balance, quite healthy.

The Rorschach performance produces a 22-response protocol with characteristically low latencies that reflect a positive test taking approach and performance. Responses are essentially form determined (97%) and follow a characteristic progression from whole through large to rare detail in perception and reporting. Secondary identification of percepts is accurate and positive, and a portion of animal responses less than 30% suggests an active imagination and good reality testing capabilities. Somewhat less movement than expected is observed; his level of functional intelligence is underestimated from this protocol. The arousal quality of color cards is evident for him, 45% of responses made being to full color presentations. Nonetheless, responses even to those cards are essentially form determined and appropriate in content, suggesting a non-remarkable ability to handle usual arousal situations. Content analysis suggests an appropriately emotional response to the conflict areas noted heretofore, with adequate depth of control and a tendency toward need resolution through non-deleterious fantasy activities.

Mental Status Examination reveals a positivistic and prosocial attitudinal structure in a man whose manner is dependently cooperative and sincere. Personal style suggests a measure of personality constriction and rigidity for Mr. Garrido, but is consonant in all respects with his strongly held religious belief and depth of commitment to current life pursuits. Mood is concerned but confident of positive outcomes as a result of his endeavors, and affect, while labile, is appropriate to content under discussion. Relationships with those present are very positive; he is an affable and likeable young man whose bearing excites the positive regard of others. Self concept is verbalized as in a process of correcting recognized personal faults and working to redevelop his functional mental capacities. He sees himself as one whose life is and will be based on his strongly held religious beliefs, and all indications are that he is conducting his affairs in accord with the principles implied therein. Aspirations for ten years hence are positive and generally non-remarkable; he aspires to work in computer science and sees an orderly progression of skill development to attainment of those capabilities. Recent memory is intact, though some deficiencies in remote memory reflect the long term abuse syndrome discussed earlier. The sensorium is clear and orientation in the spheres of time, place, and person very adequate. Delusions and hallucinations are denied and absent from evidence, and there is evidence neither of tangential thinking nor loosening of associations in his verbal portrayal of himself.

As noted via behavioral observation, current testing indications are absent of signs of past or current functional psychosis or of serious organic brain syndrome activity.

SUMMARY: In overview, Mr. Garrido is a young man of average intelligence who presents a well controlled and goal oriented pattern of personality function at this evaluation. Aspects of apparent personality rigidity and constriction are mediated by his deeply held religious and philosophical convictions and by his strongly disciplined orientation to goal accomplishment. These are in no sense debilitating, and appear to contribute to his healthy function. He has progressed remarkably

well in treatment and in educational and training pursuits during his period of service to date, and appears to have reoriented his life dramatically from the derogatory pattern which characterized him earlier.

There seems little question that Mr. Garrido was a "spoiled" child, though he inculcated values and aims appropriate to a prosocial existence during the early formative years. It is characteristic of him to go to extremes in whatever commitments are made or programs are undertaken; depending on the character of pursuit, this can be contributory to excellence or extreme derogation. The current personality picture shows some excessive reliance on defenses of denial and negation, but these are expected to diminish over time with continued treatment and personal growth on his part. Via his religious pursuits, he has been able to sublimate impulses quite well, and depth of control is sufficient to sustain him here or in the free societal situation.


He continues in a process of remitting from long term drug usage and will probably continue to do so for some years to come. At this point in time, only occasional feelings of depersonalization, cognitively construed hallucinations, and nightmares plague him from the earlier toxicity. He is in good management of impulses in the psychosexual realm, and appropriately oriented toward their prosocial expression throughout his future years. In effect, it does appear that the instant offense evolved from the potentiation by drug use of what were comparatively normal drives to abnormal forms of expression and intensity. He has gained measurably with respect to these over his period of service to date, but will, when released, require psychological assistance during his transition to the free societal situation to insure continued growth as he acclimates.

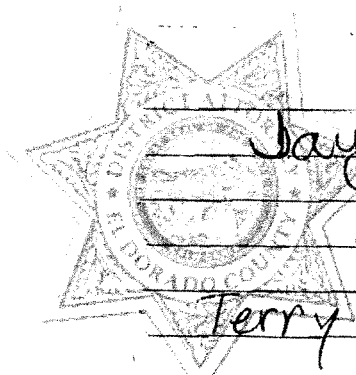
In discussion with Mr. Garrido, this examiner offered to recommend the client's release from incarceration to a program of psychological treatment in the very near future. Interestingly, Mr. Garrido asked that he be permitted another three years of incarceration in lieu of that, in order that he could complete his current program of training and religious development. All things considered, then, this examiner recommends 1) a modification of the current sentence to indeterminate parole eligibility, and 2) a recommendation that he be paroled when treatment and training goals are accomplished unless there is some dramatic change in his condition in the interim. Also recommended whenever he is released, is 3) a program of psychological treatment as part of the transition from institution to community; parole supervision is seen as a positive motivational vehicle for him, though not an imperative for his effecting a prosocial adjustment.

Prognosis for successful transition to the community is considered very good. The likelihood of further extralegal behaviors on Mr. Garrido's part is seen as minimal. It is predicted, however, that in the unlikely event that he would regress toward an unacceptable behavior pattern, he would signal the regression with reinvolved in substances or patterns deleterious to his prosocial adjustment, so that his supervising officer could be carefully attuned to and intervene hopefully, intervene, any process that might lead to negative acting out.

If there are any further questions in this case, please do not hesitate to contact me.


IAN FLUGER
Psychologist Trainee


J. B. KIEHLBAUCH, Ph.D.
Clinical Psychologist



Jaycee Lee Dugard

5/3/80

Terry Probyn
~~Probyn~~

Jaycee Lee Dugard
was the 5th identified victim
(the 4th in SLT)





